### SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### FORM 6-K

Report of Foreign Private Issuer

Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

For the month of October 2021

TC Energy Corporation (Commission File No. 1-31690)

TransCanada PipeLines Limited (Commission File No. 1-8887)

(Translation of Registrants' Names into English)

450 - 1 Street S.W., Calgary, Alberta, T2P 5H1, Canada (Address of Principal Executive Offices)

Indicate by check mark whether the registr	ant files or will file annual reports under c	over of For	m 20-F or Form 40-F:		
	Form 20-F		Form 40-F		
Indicate by check mark if the registrant is s	submitting the Form 6-K in paper as perm	itted by Re	gulation S-T Rule 101(b)(1):		
Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):					
Exhibit 99.1 to this report, furnished on Fo 1933, as amended.	rm 6-K, is furnished, not filed, and will no	be incorpo	orated by reference into any	registration statement filed by the registrant under the Securities A	ct of

#### **Explanatory Note**

TransCanada PipeLines Limited ("TransCanada PipeLines") is a wholly owned subsidiary of TC Energy Corporation ("TC Energy"). TransCanada PipeLines is relying on the continuous disclosure documents filed by TC Energy pursuant to an exemption from the requirements of National Instrument 51-102 - Continuous Disclosure Obligations and as provided in the decision of the Alberta Securities Commission and Ontario Securities Commission in Re TransCanada Corporation, 2019 ABASC 1, issued on January 3, 2019. Consistent with the exemptive relief, information contained in this Form 6-K is that provided by TC Energy.

99.1 A copy of the registrants' Code of Business Ethics Policy, as amended.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, each Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Date: October 14, 2021

### TC ENERGY CORPORATION TRANSCANADA PIPELINES LIMITED

By: <u>/s/ Christine R. Johnston</u>

Christine R. Johnston Vice-President, Law and Corporate Secretary

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#### Message from François Poirier

At TC Energy, we know what we do, and more importantly, how we do it, matters. We know our daily decisions and activities make a difference and impact all our stakeholders. We constantly strive to ensure our stakeholders, such as customers, suppliers, investors, lenders, regulators, indigenous groups, neighbors and employees trust us, and feet confident they can count on us to make the right choices and to do the right thing.

Our corporate values - safety, responsibility, collaboration and integrity - form the foundation of how we do business. COBE helps us put those values into practice by clarifying what making the right choices and doing the right thing fook like in action.

Every member of the TC Energy team is expected to read and understand the principles set out in COBE and is required to complete

annual COBE training and certification. We encourage our teams to refer regularly to COBE to help guide ethical situations they may face at work, as it clarifies the behaviour expected.

We know it takes all of us living our values every day to ensure TC Energy continues to be a company our stakeholders and the public can count on. We're committed to making the right choices and doing the right thing, while fostering an environment where we respectfully keep each other accountable.



François Poirier President & CEO



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### Our expectations and your responsibilities

The Code of Business Ethics (COBE) Policy reinforces TC Energy Corporation's (the Company's) requirements and expectations for conducting business and behaviours and provides guidance to ensure our daily activities and decisions appropriately reflect, and are consistent with, our corporate values of safety, responsibility, collaboration and integrity. Doing business ethically, fairly and responsibly is not just a concept at TC Energy, it is a commitment we make every day.

The COBE Policy functions in conjunction with TC Energy's other policies and applies to all Employees, directors, officers and Contingent Workforce Contractors (CWCS) of TC Energy and its wholly-owned subsidiaries and operated entities in all countries in which TC Energy conducts business.

You must understand these requirements and know how to meet TC Energy's standards. We expect compliance with all applicable laws, regulations, policies and rules.

If you are unsure of what standard you need to comply with, ask. Contact information is located in the Resources section of this document.

Failure to comply with the requirements set out in this document, or any TC Energy policy, may lead to serious consequences and disciplinary action up to and including termination.







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Ethics Help Line Canada / U.S. 1-888-920-2042 Mexico 800-099-0445 TCEnergy.com/ethics

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### **Our values**

#### Safety

We believe Zero is Real. All injuries and occupational illnesses are preventable. Our Personnel are expected to speak up about unsafe conditions and behaviours, take action to address concerns or stop unsafe work, and look out for each other 24/7.

#### Integrity

We act with high ethical standards, treat others with honesty and respect, and keep promises and commitments to stakeholders.

#### Collaboration

We engage others, participate in healthy debate and respect different perspectives. We work together to find better ways to solve problems and create value. We find win-win outcomes for our shareholders and our customers.

#### Responsibility

We care for the environment and minimize our impact. We make a positive difference in our communities and consider sustainability in everything we do. We deliver for our customers and take personal accountability for results.







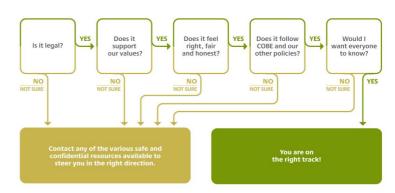


### Making the right choices and doing the right thing

At TC Energy, making the right choices and doing the right thing aren't just words – these are fundamental requirements that all Personnel must carry out in everything we do. It's fundamental to how we do business. But, what does it really mean to make the right choices and do the right thing? At a minimum, it means following the principles set out in COBE, including:

- We report all health, safety and environment related hazards, potential hazards, incidents, near hits and unsafe acts
- $\bullet \ \ \text{We comply with the applicable legal requirements and policies that impact us in our daily work}$
- We report, through appropriate internal channels or the Ethics Help Line, any instances of actual or potential non-compliance with legal requirements or with our policies that we become aware of
- We do not realiate against anyone for the good-faith reporting of an incident or issue
   We support others in making the right choices and doing the right thing

Even if we try our best to make the right choices and do the right thing, there are times when the right thing isn't completely clear. It's at those times that we need to ask ourselvs some fundamental questions. The below guide to making the right choices and doing the right thing is intended to help you identify the right path in those situations.



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# Reporting safety, legal and ethical violations

We report actual or potential non-compliances with our policies or our legal requirements, so they can be addressed appropriately. Retaliation for Good Faith Reporting is prohibited at TC Energy and you can be assured that your confidentiality and identity will be protected to the greatest extent possible.

#### How do I report an issue or seek guidance?

You are required to report any actual or suspected violation of the law or COBE and all health, safety and environment related hazards, potential hazards, incidents, near hits and unsafe acts which you may become aware. We take every report seriously and provide immunity from disciplinary action for Good Faith Perspetience if incidents and incidents.

#### Resources

To report an issue, or if you would like guidance on how to make the right choices and do the right thing in a particula situation, the following recourses are available to you:

- Your leader
  - r Human Harassm
- Resources Consultar
  Your Compliance
  - liance Safety Personni
- Coordinator Corporate Compliar
- TC Energy's Environment Health and Safety

orate Compliance Health and Safety
rial Audit Management (EHSM) Incide
department Management System







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Leader responsibilities

TC Energy's leaders are here to help us make the right choices and do the right thing together. If you are a leader, in addition to acting in accordance to the principles set out in COBE, you are required to:

- Inspire Personnel to act ethically by setting an ethical tone within your team
- No. Reinforce the importance of making the right choices and doing the right thing when carrying out other corporate objectives (for example, profits and cost management) and support those who are unsure how to make the right choices and do the right thing

- unsure how to make the right choices and do the right thing

  Set an example by modeling exemplary ethical business conduct

  Create a safe environment where individuals are encouraged to speak
  up if they become aware of or suspect a legal or ethical violation

  Ensure that your team members understand and act in accordance with
  all legal and ethical requirements that impact them in their jobs, that
  they know how to report actual or potential non-compliance with the
  law or COBE or to ask questions regarding ethical or legal matters, and
  that they complete all required ethics and compliance-related training
- Understand your obligation to act on any actual or suspected violations of COBE, any of our other policies, or the law that may be reported to you and the requirement for you to report these issues, as appropriate, to your Compliance Coordinator, Corporate Compliance, Internal Audit, the Harassmert Investigation Coordinator, Privacy Office or the Ethics Help Line
   Engage with Human Resources, your Compliance Coordinator, Corporate Compliance or Internal Audit to ensure violations of legal requirements or COEB by your direct reports are addressed appropriately (including appropriate corrective disciplinary action)



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Protecting health, safety and the environment

Our commitment to safety isn't just words – it's a belief and a requirement that underpins everything our Personnel do. It's how we work 24/7, 365 days of the year across our entire organization.

We expect that our Personnel share TC Energy's commitment to safety.

Whether you work in a field location or in an office setting, you must ensure that you always comply with all health, safety and environment related legal requirements, as well as the requirements set out by TC Energy in COBE and applicable policies.

If it isn't safe, we won't do it. By reinforcing a disciplined set of rules and providing rigorous training, we approach every day with our goal of a zero-incident workplace.







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#### TC Energy's Life Saving Rules

TC Energy's Life Saving Rules guide the way we work and help us hold each other accountable to the highest possible safety standards.

TC Energy's Life Saving Rules are:

- Drive safely and without distraction
- Use the appropriate personal protective equipment (PPE)
   Conduct a pre-job safety analysis (JSA)

- Work with a valid work permit when required
   Obtain authorization before entering a confined space
- Verify isolation before work begins
   Protect ourselves against a fall when working at heights
   Follow prescribed lift plans and techniques
- Control excavations and ground disturbances

Committing to TC Energy's Life Saving Rules means meeting our goal of everyone going home safe from our offices, facilities and project sites, every day. Nothing is more important.





Alcohol and drug use and being fit for work

We do not compromise our ability to do our jobs or the safety of others through the use of intoxicants, including drugs, alcohol or medications, whether they are legal or not

Given the nature of TC Energy's business, it is essential that all Personnel be fit to perform their jobs. The use of drugs or alcohol can impair your judgment and productivity and can lead to serious accidents and health and safety concerns – not only for yourself, but also for your coworkers and the public.

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TC Energy takes a zero-tolerance approach toward the use of alcohol, drugs and intoxication while working. You must always be fit for work while engaged in any TC Energy business inability to do so will result in serious consequences including

#### What does being fit for work mean?

Fit for work means being able to safely and acceptably perform your assigned duties without any limitations due to the use or after-effects of any intoxicants. This can include legallyobtained medications (prescription and over the counter) which has the potential to change or adversely affect the way a person thinks feels or arts!





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#### Harassment and violence-free workplace

Everyone deserves to do their job in a safe, respectful, and inclusive workplace, without fear of harassment or violence.

You must always be respectful to our Personnel and Contractors and be sensitive to the way in which others may react to your behaviours, comments, gestures or contacts. Always try to resolve differences in a calm and respectful manner, without resorting to insults, threats or violence.

TC Energy prohibits any behaviour, including displaying any statements, messages, or images (e.g., on clothing, stickers on hard hats, decals on vehicles, etc.), that is:

TC Energy will take allegations of harassment and violence seriously and address them promptly in a respectful. Iair and thorough manner by trained investigators. If required, TC Energy will take appropriate corrective action, up to and including termination of employment or contract.

TC Energy requires that we treat one another with dignity and respect, and we are committed to maintaining an inclusive and respectful work environment that is free of harassment and violence.



Reasonable Workplace Accommodation Policy





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# Protecting everyone from weapons in the workplace

Unless otherwise prohibited by law, we prohibit the possession, use, carrying and transportation of any dangerous or potentially dangerous weapons, as defined by TC Energy's Weapons in the Workplace Policy, when conducting Company business:

- on or off all Company owned or controlled premises;
   in all Company vehicles (whether owned, leased or rented); and
   in all personal vehicles being used while conducting Company business.

For individuals in jurisdictions that permit firearms to be kept in personal vehicles, the vehicle must be locked, firearms must be hidden from plain view and be kept within a locked case or container within the vehicle.

Weapons in the Workplace Policy

Individuals who are licensed to lawfully carry firearms (openly or in a concealed manner) are not exempt from our Policy, unless otherwise prohibited by law.







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#### **Avoiding conflicts** of interest

We must act in the best interests of TC Energy, avoiding any situation that could place us in a conflict of interest, or create the perception of a conflict of interest. If, and when, a conflict of interest sites, you are required to report the conflict so it can be appropriately investigated and addressed.

You should never make business decisions on behalf of TC Energy based on personal relationships, bias or the potential for personal gain.

Some examples of conflict of interest can include, but are not limited to:

- Gifts, invitations and entertainment
   Outside business activities
- Outside business activities
  Corporate opportunities
  Directorships or other board positions outside of TC Energy
  Director independence
  Personal Relationships
  Intimate Relationships

Integrity is one of our core values. In simple terms this means making the right choices and doing the right thing - always. At TC Energy, this is part of who we are and how we do business - every day.





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### **Personal Relationships**

Personnel who have a Personal Relationship within the Company must not be in a direct or indirect reporting relationship with each other. In particular, the Company prohibits all Intimate Relationships between individuals in a direct or indirect reporting relationship.

If Personnel are not certain whether a Personal Relationships within the Company is permissible, they should immediately discuss their situation with their TC Energy leader, Human Resources (HR) Consultant or HR Governance.

QUESTION: I want to hire someone who I know has a family member already working for TC Energy. Is that allowed?

ANSWER: Vs. is acceptable to the someone. Employee or CWC, who has faully members already working for TC Energy provided that person is not in a directly or indirectly through other leaders? propring to their faulty member. The onus is on all Personnel to notify HI Governance when they become acure of a Personnel Relationship where there is a direct or indirect reporting relationship within the Company.





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#### **Outside business activities** and outside directorships

- Personnel must not engage in outside business activities (e.g., as a consultant, employee, or director), that are in conflict with or detrimental to the interests of TC Energy, and which may include:

  Owning, controlling or directing a material financial interest (greater than one per cent) in a competitor, or in a vendor, supplier, customer or other business which does or seeks to do business with TC Energy;

  Being involved in a business that competes with TC Energy or that does or seeks to do business with TC Energy;

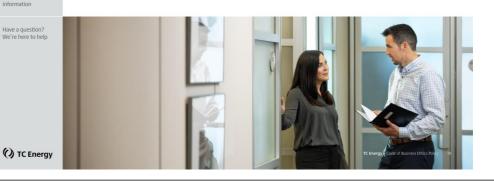
  Outside business activities that interfere with Personnel's davented as
- Outside business activities that interfere with Personnel's day-to-day responsibilities at TC Energy; and An outside business activity that requires Personnel to violate their confidentiality or other obligations to TC Energy.

TC Energy Personnel whose spouse, common law partner, or other family member is a supplier or potential supplier to the Company must ensure that they are not involved in the selection process or in directing or influencing the work of the supplier to whom they are related.

In cases where the spouse, common law partner, or other family member of TC Energy Personnel owns, controls, or directs a material financial interest in any of the outside business activities, that Personnel must contact the **Corporate Compliance department** for guidance.

Personnel must declare all outside business activities to the **Corporate Compliance department**.

Personnel must declare all Outside Directorship positions on a board (e.g., board chair, treasurer, secretary, member, etc.) to **Corporate Secretarial** for review and approval, prior to accepting the position.



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# Gifts, invitations and entertainment

Local customs with respect to providing gifts and other benefits can change depending on where we are doing business; however, these local customs must hever compromise, or appear to compromise, our ability to act legally, ethically and objectively.

While giving gifts can help to build and maintain strong business relationships, they can also cloud one's judgement or be seen to improperly influence decisions depending on the nature and context of the gift.

We must always be prudent in offering gifts, entertainment or anything of value to anyone or any organization that is a competitor or that TC Energy does, or seeks to do, business with, or that TC Energy requires consent or approval from (e.g., a government authority).

Corruption in business and government prevents fair and open competition based on merit and it can have a negative impact for both the Company and the individual. To mitigate these negative impacts, we must all comply with TC Energy's Avoiding Ribbery and Corruption Policy, Gift, Meals, Fatertainment and Travel for Government Officials Standard, and Gifts and Consequence 100.



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### Accepting gifts, invitations and entertainment from suppliers

Accepting gifts or invitations from suppliers or potential suppliers can affect the way TC Energy is perceived and can run counter to our business objectives and values. We all have an obligation to conduct ourselves in a fair and impartial fashion in all business dealings with the supplier community.

Personnel may accept food and beverages over a business meal, provided it is not lavish, but may not accept invitations to events or sporting activities, cash or cash equivalents, or gifts with a value greater than \$50.

Careful consideration must be taken when a supplier extends an invitation to a social event or offers a gift. Please see the Gifts and Entertainment Policy for more information.

Avoiding Bribery and Corruption Policy

Gifts and Entertainment Policy

Gift, Meals, Entertainment and Travel for Government Officials Standard

QUESTION: I have been invited by a supplier to attend the rodeo at the Calgary Stampede. Can I accept the invitation and attend the event?

ANSWER: All Personnel must ensure they are acting in a manner which is fair and impartial to our supplier community and which does not create a real or perceived conflict of interest with those with choose we do business. As such, since this includant owned fall outside acceptable thresholds for gifts and entertainment, attendance at this event would only be acceptable if prior written approach is obtained from your Vice-President or Senior Vice-President.

QUESTION: I sometimes receive items such as coffee mugs and pens from a company that I have a relationship with and which is a supplier to TC Energy. Am I able to accept these items?

ANSWER: Employees may accept occasional promotional gifts (such as pens, coffee mags, calendars) as a customary business courtesy, provided that the gift does not exceed a value of \$50 per instance or total more than \$50 to in aggregate for the calendar year. All dollar amounts for occasional promotional gifts are in local currency where they are being accepted.

QUESTION: One of our existing auto leasing suppliers has invited me to attend their annual product roll-out, which will be held in Las Vigas. It is a big event that all customers are invited to. The supplier has offered to pay for all flights and accommodation, in addition to the medis that will be provided as part of the event. The supplier's contract is not currently up for renewal, and I am on the person responsible for making the decision whether to renew. Can I attend?

the decision whether to renea. Can I attentic

ANSIVERS, time we have an existing business relationship with the
supplier and the Company is not currently involved in any renewal
or other negotiations, and since the event of a business related event
attended by many customers as well as supplier representatives, you
may attend with the approved of your Vice-President Osmior VicePresident. However, given the location of the event, the business benefit
to TC Energy shoulb be carefully considered and discussed with
your leader. Additionally, since the value of the event is significant,
the supplier's perment for flights and accommodation ould create a
perception of conflict and or an obligation on the part of TC Energy. As
a result, flights and accommodation should be paid for you're. The expert.
You may accept the meals provided by the supplier as part of the event.

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## Expenses for Government Officials

Engaging with government officials is an important part of TC Energy's business, and during those engagements, expenses for Government Officials may be incurred. You should never provide government officials with bribes, payments, kickbacks, gifts or anything else of value for the purpose of improperly influencing their actions or decisions in TC Energy's lavour. These benefits can include entertainment, private parties, charitable contributions or employment opportunities.

Even if there is no intent to influence, you should not provide a payment or benefit to any third party if it could appear to be improper.

Avoiding Bribery and

Gifts and Entertainment Policy

Enhanced Community

Gift, Meals, Entertainment and Travel for Government Officials Standard

■ We are prohibited from offering, paying, promising or authorizing a compensation, payment or benefit to any Government Official, directly or indirectly, to secure any contract, concession or other improper advantage for TC Energy. Such action is prohibited even if the intent is not to influence a Government Official(s), as it could appear to be improper.

Many anti-corruption laws allow small gifts or reasonable meals or entertainment for Cooremment Officials in limited circumstances. Only gifts, meals, and entertainment that are reasonable, do not influence business decisions and are not otherwise prohibited may be offered. All gifts, meals or entertainment must be provided in accordance with local leaves and regulations, be appropriately recorded in TC Energy books and records, and follow the appropriate approved processes and thresholds are tout in TC Energy's Gift, Meals





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# Political contributions and lobbying

TC Energy respects the political process and only makes political contributions and engages in lobbying activities that are legal and transparent.

Legal requirements concerning political contributions and lobbying are aimed at preventing corruption in government and at ensuring the proper functioning of the political system. These legal requirements can be complex and vary by jurisdiction (we are not allowed to make political donations at all in some jurisdictions). Therefore, you must seek approval from the appropriate department before engaging in these activities on behalf of TC Energy.

QUESTION: I am very politically active. Is that allowed?

ANSWER: TC Energy encourages you to participate in the political process as an individual, in accordance with your own political views and the laws and regulations governing this activity. In doing so, however, you may not use TC Energy's name, nor indicate that you represent TC Energy, unless you have been authorized to do so.



Political Activities and Contributions Policy

Gift, Meals, Entertainment and Travel for Government Officials Standard





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When engaging in international trade

When engaging in international business and procuring products from the global marketplace. To Energy complete with all applicable international trade laws, as well as all customs and txaction requirements, international trade laws, so well as all customs and txaction requirements. International trade laws, prohibit or restrict trade with that are subject to embargoes or sanctions, as well as with certain individuals and organizations (e.g., entities that have been to actual or suspected terrorists or drug terrificken). These laws also prohibit or restrict imports and exports and technologies and often impose stringent reporting obligations.

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Insider trading

We engage only in transactions that have a legitimate business purpose, and we do not interfere with the normal functioning of the markets in which we operate and transact. We also report transactions in accordance with all legal requirements.

Through the course of your work with TC Energy, you may have access to non-public information regarding TC Energy, our customers, Contractors, vendors, suppliers and other business partners.

You must always maintain the confidentiality of any non-public information encountered through the course of business with TE Energy, To the extent non-public information that you are aware of could be material to a decision to buy or sell shares in TC Energy or another company, you and your immediate family members must not trade TC Energy shares or other securities based on that information,

Trading Policy for Employees and Insiders

We conduct business in a way that promotes a fair, efficient and openly competitive operation of markets we participate in and which complies with market manipulation laws.

QUESTION: I own units of a mutual fund that invests in shares of one of our suppliers. Is that a problem?

ANSWER: Your ownership of mutual fund units is likely not a problem. If your investment in the supplier is through a mutual fund, you would need to causer that you do not own more than one per cent of the stock of the supplier however, because of the indivert nature of the investment, it is also less of a concern than if you owned the shares directly.

Insider trading is a serious offence and can have significant





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# Complying with regulatory requirements

TC Energy is committed to meeting our obligations under all regulations and tariffs.

regulations and utamic Assay and the Assay Regulator (CER) requirements, including those of the Canada Energy Regulator (CER) the Federal Energy Regulatory Commission (FERC), the Comission Nacional de Hidrocarburos, and the North American Energy Reliability Corporation (NRCR), among others, in addition, IC Energy's transmission providers are subject to tariffs that we must comply with.

Although it is impossible to list all of these requirements here, you must ensure you are familiar with the specific requirements applicable to you in your job. These can include reporting requirements and compliance with technical or other standards.

To the extent the requirements of more than one jurisdiction apply, you





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#### Inter-affiliate interactions

As a transmission provider, TC Energy is subject to the Canadian Cas Pipelines Code of Conduct (Code) in Canada, the FERC Standards of Conduct (SOG) in the LIS., and the TC Energia Code of Conduct in Mexico (Inter-Affiliate Codes) Standards of Conduct), These inter-Affiliate Codes (Standards of Conduct), These inter-Affiliate Codes) Standards of Conduct are intended to ensure that our non-regulated affiliates do not receive an unfair advantage over other customers, whether as a result of discriminatory treatment or the improper sharing of information, Personnel or resources. The Inter-Affiliate Codes (Standards of Conduct also prohibit cross-subsidization at the expense of our transmission customers).

In order to ensure compliance with the Inter-Affiliate Codes/Standards of Conduct, you must observe the following rules in your day-to-day activities:

#### All customers must be treated equally

 Regulated transmission providers can not give undue preference to any customer, whether it is an affiliated TC Energy entity or not.

#### Independent functioning

Regulated Personnel must function independently of non-regulated Personnel (e.g., they cannot perform the same jobs).

#### No conduit of information

 Regulated and shared Personnel must not share, or act as a conduit for the sharing of regulated information\* with non-regulated Personnel.

#### Pay fair shar

 Non-regulated entities must pay their fair share of any costs incurred by our regulated transmission providers, so as not to burden our transmission customers with costs our non-regulated entities benefit from.

#### Reporting violations

Any violations of the Inter-Affiliate Codes/Standards of Conduct must be reported to the Corporate Compliance department, since TC Energy is legally required to either publicly post such information on its web site or report it to our regulators.

\*Regulated information (which may not be shared with non-regulated Personnel) includes commercial, financial, strategic, planning, operational and customer information of our transmission provides.







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### **Competing fairly**

A competitive marketplace in the energy and transmission services that TC Energy provides helps ensure fair prices and customer choice and, in turn, results in the industry as a whole providing more effective and better service. We believe in vigorous, fair competition and comply with all laws designed to protect the ability of companies to compete freely.

- Fix prices
- Decrease capacity or volume available to customers
   Allocate customers or markets among competitors
- Boycott certain customers or Contractors

As such, you need to be very careful whenever you have contact with competitors (whether in trade association meetings, at conferences, through participation in benchmarking groups or in negotiating or otherwise dealing with actual or potential joint venture partners who are also TC Energy competitors) to avoid sharing competitively sensitive information. You must never enter into an agreement to reduce competition, or that is likely to have that effect.

QUESTION: While at a trade association meeting recently, a few competitors I was sitting with at dinner started talking about their pricing. I knew it wasn't appropriate, so I didn't say anything, Did I do the right thing?

the right image.

ANSWER While you never right not to participate in the discussion, when in such a situation, it's a good idea to due the further step of making ofcer to everyone that the discussion is impurpropriate and that you will not participate. If the impropriate discussion continues, you should excuse yourselffrom the distantion. You should also document with a happened and report the matter. This will help to protect you and TC Energy in case aroone exer points to the fact that you were part of a group in which an imappropriate discussion took place.







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**Preventing money** laundering and terrorist financing

We expect all our Personnel to be vigilant in ensuring the payments we make and the methods of payment we use are legitimate and legal.

Legal requirements concerning money laundering and terrorist financing are in place to deter criminal and terrorist activities of those with whom we might do business.

To ensure compliance with these legal requirements you must:

- Exercise care before agreeing to do business with a third-party, including ensuring that they were reviewed as part of Supply Chain's qualification process
- Ensure the third-party is legitimate and reputable
- · Recognize and report any suspicious payments or transactions

Ignoring the signs that a transaction or payment initiated by a third party is not legitimate can result in TC Energy being found complicit in any illegal activity that may be associated with the transaction, even if the Company did not expressly authorize it or even know about it.





łome	Other potential conflicts of interest
	Corporate opportunities
able of contents	Personnel must not take personal advantage of a but that you discover through the use of Company asset

Personnel must not take personal advantage of a business opportunity that you discover through the use of Company assets, property, information or your position with TC Energy, or use Company assets, property, information or your position with TC Energy for personal gain or to compete with TC Energy.

#### Political office, appointments to boards or tribunals

Personnel may not serve in a political office or on an administrative board or tribunal, if that office, board or tribunal has or may have decision—making authority in respect of any aspect of TC Energy's business (such as the approval of projects or the issuing of permits).

#### Executive leadership team - other business activities

In addition to the conditions set out in outside business activities and outside directionships section above to the condition of the court of the

#### Directors' independence

To maintain their independence and to ensure that no relationships exist that may violate applicable corporate, securities and competition laws, all members of the Board of Directors of TC Energy must have their independence assessed:

- · Annually;
- In the event of a material change in their respective primary employment status; and

  When they wish to join another board of directors, whether private or public.

All candidates to TC Energy's Board of Directors must declare to the Corporate Secretarial group any material interest that they may have in a contract or transaction.

All members of the TC Energy Board of Directors who have any material interest in a contract or transaction must recuse themselves from related deliberations and approval.



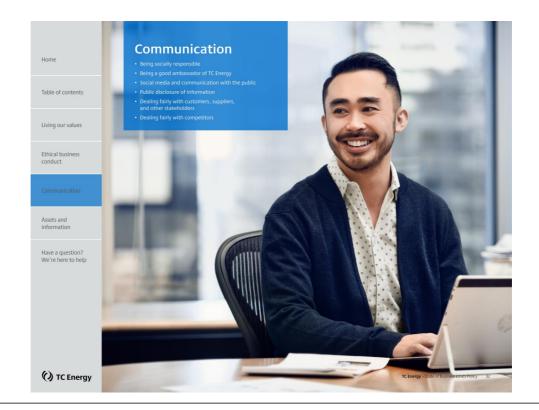


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# Being socially responsible

We respect human rights and we are committed to being a good neighbour and supporting and enhancing the communities in which we live and work.

Some of the most important communities our business impacts are Indigenous communities. We are committed to working with these communities, to develop positive, long-term relationships based on mutual trust and respect, and recognizing their diversity and the importance they place on the land, their culture and their traditional way of life.

In addition to working with Indigenous communities, we also work hard to build and maintain relationships with landowners. We recognize the importance of farming to their communities, and actively support farming-related organizations.

TC Energy understands the importance that community, charitable and other similar non-governmental organizations play in making the communities in which we live and work better places. We actively support these organizations and encourage our Personnel to become involved by volunteering and contributing to charitable and other community-based organizations, including during work hours if approved by your leader.

Stakeholder Engagement Commitment Statement

Indigenous Relations
Commitment Statement

Indigenous Relations Policy





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# Being a good ambassador of TC Energy

We recognize that we are ambassadors of TC Energy and conduct ourselves in a manner that is respectful and appropriate and that will not harm TC Energy's reputation.

You must always keep in mind that you are a representative of TC Energy. The things you say and do should reflect the Company's core values. You should not speak publicly on behalf of TC Energy unless authorized to do so. Any posting or statement on an external website, including personal sites or in other media, should be considered a public statement.

Even on your personal time, you must not participate in any illegal or inappropriate statements or activities that could be detrimental to the Company or its reputation.

Public Disclosur

Communications Policy





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# Social media and communications with the public

In the age of social media, it is easy to broadly and publicly communicate information. You need to be particularly aware of your obligations and our expectations when it comes to the disclosure of Company information and ensuring it is in accordance with legal and internal requirements,

- Do not speak on behalf of TC Energy unless you have been authorized to do so
   Never falsely represent yourself
   Do not post anything that reflects negatively on TC Energy and ensure posts are not discriminatory, offensive, or in poor taste
   Share only approved TC Energy content, add value to the conversation, and be accurate.
- Do not post pictures of TC Energy's facilities or operations unless you are authorized to do so



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Public disclosure of information

To Energy ensures that public statements regarding the Company are provided in a timely manner, are fair, accurate and complete, comply with legal requirements and corporate policies, and preserve and protect our reputation and brand.

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Use of company name for personal gain
You must never use the Company's name or purchasing power
or your employment status to obtain personal discounts or
rebates from vendors, unless those discounts or rebates are
available to all Employees.

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### **Dealing fairly with** customers, suppliers and other stakeholders

We consider the impact of our actions on stakeholders, the environment and the communities in which we operate. We follow the requirements of TC Energy's Operational Management System (TOMS) which are in place to make sure we act responsibly to protect us, our co-workers, our workplace and assets and the communities we work in, and that we act as responsible stewards of the environment. TOMS provides a strong foundation to manage risk, share knowledge and best practices, and it ensures continual improvement of the business.

You should never make business decisions on behalf of TC Energy based on personal relationships, unfair bias or the potential for personal gain.

We are fair and honest in our dealings with customers, suppliers and other stakeholders and we honour our obligations and commitments to them.





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# Dealing fairly with competitors

You must ensure that you use only legitimate means (such as searches of public information) to obtain competitive intelligence.

You must never use decell or misrepresent yourself to obtain such information, and you should never take advantage of information you receive in error, for example:

• Emails or faxes received in error

• Physical documents left in a meeting room or in a public place or which have been sent to you in error

• Information you overheard







Information

We protect TC Energy's confidential information, and that of our customers, supplies, Contractors and other stakeholders, from improper disclosure and use.

We all have access to confidential information. TC Energy confidential information includes all TC Energy non-public information that may be of use to competitors or harmful to TC Energy or its customers, suppliers, Contractors or other stakeholders, If disclosed.

Confidential information can include:

Information regarding
TC Energy's business, operations, finances, strategies, business, operations, finances, strategies, business, operations, and divestitures.

Proposed mergers, acquisitions, and divestitures and eventual reports

Engineering designs and reports
Legal proceedings, contracts

Environmental reports
Legal proceedings, contracts
Environmental reports
Lend and ease information
Technical and economic data Table of contents Living our values Communication

# **Protecting confidential** information

Because such information is sensitive and can be used by competitors or others to TC Energy's detriment, it must be protected. You must not disclose such information to anyone who does not need to know the information for legitimate business purposes (including within TC Energy).

All confidential information should be protected from unauthorized access. When disposing of confidential information, you should do so in a secure manner, which may include shredding of hard copies.

See additional information in the Protecting and Using TC Energy's Assets and the Managing and Maintaining the Security of Information sections.

Information
Management Policy

Policy Cybersecurity

Records Retention Schedule





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Protecting personal information

TC Energy takes seriously the fact that its Personnel, customers, Contractors, vendors, suppliers and other stakeholders have entrusted the Company with their personal information.

Some examples of personal information include an individual's name, home address, telephone number, identification numbers (such as an Employee number or social insurance/social security number), financial information, and medical information.

information, and medical information.

You should never collect, store, access, use, or disclose personal information for an inappropriate purpose or by inappropriate or illegal information for an inappropriate purpose or by inappropriate or illegal means. To the extent that you have personal information of any individual as a result of your work with TC Energy, whether the individual is an Employee, a landowner or a shareholder (to name just a few examples), you may not disclose that personal information to others, either within or outside TC Energy, without the express approval of TC Energy's Privacy Officer or the individual's written consent.

If you are ever unsure if information can be disclosed, you should check with TC Energy's Privacy Officer before taking any action.

For more information, please see the Protection of Personal Information Policy.

TC Energy is committed to protecting personal information in compliance with all legal requirements and requires that our Contractors, vendors, and suppliers share this commitment to information security.

Protection of Personal Information Policy.

Use of personal information must be limited to the business purposes for which the information was provided. You should also protect and safeguard personal information from inappropriate access, by keeping it in a locked cabinet, or in a password protected or otherwise restricted folder, memory stick or other similar storage or otherwise restricted folder, memory stick or other similar storage





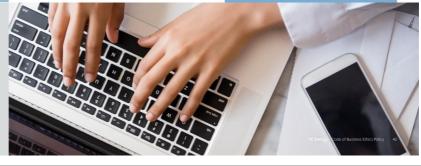
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# Managing and maintaining the security of information

Company records are valuable assets of the Company and you must ensure appropriate and reasonable efforts are made to manage, protect and preserve these assets.

All of these information assets are important Company records that TC Energy may be required to produce in the event of a legal or regulatory proceeding, audit or investigation. It is important that you manage and retain these assets in accordance with all legal requirements and TC Energy's corporate policies. In particular, you must never destroy an information asset in the event of a legal hold or an actual or pending legal or regulatory proceeding.

P Information Management Policy





Protecting and respecting intellectual property rights.

We preserve TC frengy's intellectual property rights and respect and honour those of third parties.

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# Use and protection of TC Energy's assets

TC Energy assets you have access to assist in completion of your duties must be protected and only used for legitimate business purposes.

You have an obligation to be a good steward of the assets that TC Energy provides to you in the course of your work and you must protect assets from loss, theft, damage and misuse.

Additionally, using Company facilities and/or equipment to work on your personal assets, for personal activities or to store personal assets is not allowed.

Initide personal use of Company assets such as accessing Internet or printing is acceptable provided that it does not interfere with your job duties. TE energy regularly monitors Company Internet use, and individuals should not assume any right of privacy with respect to either their use of or data stored on TC Energy's computer systems. Any misuse of Company assets or services, including inappropriate use of TC Energy's computer equipment and systems, may lead to serious consequences including corrective disciplinary action up to and including termination.

Acceptable
Use Policy

Corporate Security Policy

QUESTION: I sometimes use my Company computer to access Facebook or Twitter during my lunch break and I post about my personal life. Is that allowed?

ANSWER: Limited personal use of Company assets to access social media during a break is acceptable, however, you need to keep in mind that you are using a Company computer and accessing the Internet through a rear England Company computer and accessing the Internet through a rear to the Internet through a different through a final properties or could reflect poorly on TC Energy. The Company regularly monitors the use of its captiment and systems and you should not expect your personal use of TC Energy assets to be private. Any inappropriate or offensive use of Company assets by Personnel may result in disciplinary action.

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QUESTION: I send my claims to TC Energy benefits providers and use my TC Energy address to receive trude publications, contact lenses and books for the book club that I started with my coworkers. Is that allowed?

ANSWER: Personal shipments and mail must not be sent to your TC Energy address. Personal shipments include:

TC Energy address Personal shipments include:

• personal online purchases, such as electronics, clothing, footwear, hygiene beauty products, foot, contact lenses glasses, book of the month wine of the month or any other shipments for interest group meetings, including those evented by and for Personal magazine and newspaper subscriptions, except for business correspondence, trade publications and earlow catalogues of gilts from freshes and family, except for flower deliveries and gifts from vendors. Contractors, and suppliers shich must comply with all applicable TC Energy's corporate policies

As an exception to this rule, Personnel may send their claims to TC Energy benefits providers (e.g., Sun Life Financial and MetLife) or send personal mail with the appropriate postage affixed through Company malfrooms.

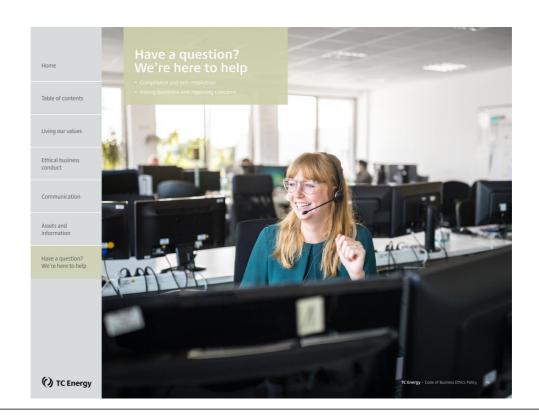
QUESTION: Hive in a very small condominium and keep my blike chained to an outside bike rack except for winters, when I store it in a paid facility. My co-worker told me about an empty shed in one of the Company's field sits near my condo. Would it be acceptable for me to keep my bike in the Company's shed for winter?

ANSWER: Storing your bike in the Company's shed for the winter is not be acceptable. Storing personal property that is not required during work hours, such as motorized and nonmotorized relifies, including that not limited to bixeles, motorycles, RVs and boats, on the Company premises is generally prohibited. There are two exceptions:

- remass a generally promonent. There to executions of subject to the site management's approval, Personnel who commute to remote coordisties to perform their job duties may park their personal vehicle used to reach the site on the Company premises for the duration of their work shift; man of the parking spaces on the Company premises that are either designated or paid for by Personnel which is used to park a personal vehicle, subject to notices to vacate the parking space for seasonal cleaning, maintenance or repairs.



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### Compliance

TC Energy requires that our Employees and Contractors comply with all aspects of this Policy and support others in doing so. You are responsible for promptly reporting suspected or actual violation of COBE, the associated and referenced policies, applicable law or any other concern, through available channels so that it can be appropriately investigated, addressed and handled. Anyone who fails to comply, or knowingly permits Personnel under their supervision not to comply, with the requirements set out in this document or any TC Energy rules and guidelines may lead to serious consequences including corrective disciplinary action, removal from our site(s) in accordance with the TC Energy's policies and processes, or termination of the business relationship.

#### Non-retaliation

We support and encourage you to report suspected instances of potential non-compliance with applicable laws, regulations and authorizations, as well as hazards, potential hazards, incidents involving health and safety or the environment, and near hits. We take every report seriously, investigate each report to identify facts, and make improvements to our practices and procedures when warranted.

All Employees and Contractors making reports in good-faith will be protected. We ensure immunity from disciplinary action or retailation for Contractors, vendors and suppliers for the good-faith reporting of such concerns. Reports can be made to a TC Energy leader, your TC Energy representative, or anonymously to the Ethics Help Line.

Good-faith reporting is intended to remove protection for Contractors, vendors and suppliers making intentionally false or malicious reports, or who seek to exempt their own negligence or willful misconduct by the act of making a report.





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### Asking questions and reporting concerns

You are required to report any actual or potential non-compliance with COBE, any other TC Energy policies, or any legal obligation, as it applies to you or the Company, so it can be appropriately invested and addressed. You can do so with confidence that your confidentiality and identity will be protected to the greatest extent possible and that retailation for good faith reporting is prohibited.

#### **Ethics Help Line**

Although TC Energy has various reporting resources available for Personnel to report a concern or to seek guidance, there may be times when you are not comfortable raising concerns through those resources.

TC Energy's Ethics Help Line is operated by an independent third-party service provider, NAVEX Global, and reporting through the Ethics Help Line is confidential and may be done anonymously.

# Canada/U.S. 1-888-920-2042 Mexico 800-099-0445 www.TCEnergy.com/ethics

All calls to the Ethics Help Line are free of charge, and can be made in English, French, or Spanish 24 hours a day, seven days a week, 365 days a year.

Accounting irregularities
 Alcohol and drug abuse
 Conflicts of interest
 Employee concerns
 Employeen practices
 Employeen concerns
 Employeen concerns
 Employeen concerns
 Employeen concerns
 Employeen concerns
 Other improprieties
 Environment concerns

If the issue raises an immediate threat to safety or security, you should contact Corporate Security, local police or other emergency services as appropriate.

#### All reports are taken seriously

Regardles of the means used to report, you can feel confident that the report will be taken seriously and that it will be investigated and addressed appropriately. If you are reporting anonymously through the Ethics Help Line, please make note of your key code for your case file as the investigator will only be able to contact you through your case file should they need to communicate with you for further information or clarification prior to initiating an investigation.

#### Participation in investigations and audits

Personnel, including directors and officers are required to participate in investigations and audits if, and as, requested.

QUESTION: I suspect one of my colleagues has violated part of COBE, but I'm not sure my suspicions are correct. I'm concerned I'll be labeled a tattle-tale (or worse) if I report it. What should I do?

ANSWER: If you suspect misconduct, you should report it so it can be investigated. If it turns out not to be an issue, there will be no harm done. However, violations of the law or COBE that are not reported, cannot be addressed, and that can seriously undermine the Company, If that happens, we all suffer. If you report the issue, your confidentiality and identity will be protected and if any retaliation is found to occur, it will be taken very seriously.



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### Glossary

Confidential Information means all TC Energy non-public information that may be of use to competitors or harmful to TC Energy or its customers, suppliers, or other stakeholders, if disclosed, it can include, but is in no way imitted to, information regarding TC Energy's business, operations, finances, strategies or business plans, projects, proposed mergers, acquisitions and divestitures, engineering designs and reports, legal proceedings, contracts, environmental reports, land, and lease information, technical and economic data, marketing information and field notes, sketches, photographs, electronic information assets (including enalls, voicenalis, SMS, and text messages), computer records or software, specifications, models, or other information which is or may be either applicable to or related in any way to the assets, business or affairs of TC Energy.

**Contingent Workforce Contractor (CWC)** means an individual who typically:

- Is employed by a third party to work on behalf of TC Energy;
- Uses TC Energy's assets (e.g., workstation, email, phone) and corporate services;
   Is compensated on an hourly or daily rate basis; and
- · Works under the direction of a TC Energy leader.

Contractor means a third party hired by TC Energy to perform services for or supply equipment, materials, or goods to the Company. Contractors include, without limitation, Contingent Workforce Contractors and Excluded Contractors.

**Employee** means full-time, part-time and student employees of TC Energy.

**Good Faith Reporting** means an open, honest, fair and reasonable reporting without malice or ulterior motive.

Government Officials means any appointed, elected, or honorary official or any Employee of a government, of a government owned or controlled company, or of a public or international organization. This definition accompasses officials in all branches and at all levels of government: federal, stately provincial or local. This definition also includes political parties and party officials and candidates for political office, Indigenous officials may also be considered Government Officials. A person does not cease to be a Covernment Official by claiming to act in a private capacity or by the fact that help'she serves without compensation. Examples of Government Officials relevant to TC Energy's business are:

- Government ministers and their staff;
- · Officials or Employees of government departments
- Employees of regulatory agencies;
- · Judges and judicial officials; and
- Employees of state-owned oil companies, or other governr owned or controlled corporations.

Personal Relationship means all Family Relationships and Intimate Relationships and any other personal relationship that is sufficiently close to create a real or perceived conflict of interest.

**Personnel** means full-time, part-time and temporary Employees and Contingent Workforce Contractors of TC Energy.

Records means information created, received and maintained as evidence by an organization or person, pursuant to legal obligations or in the transaction of business. Records include, but are not limited to, electronic and physical formats. They provide proof of what happened, when it happened, and who made decisions. Whether information is identified as a Record depends on the information it contains and the context.

TC Energy or the Company means TC Energy Corporation and its wholly-owned subsidiaries and for operated entities



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